

# Foundation customer's general beneficial ownership statement



MKB Bank Nyrt.

Dear Customer,

In accordance with Section (1), Article 9 of Act LIII of 2017 on the Prevention and Combating of Money Laundering and Financing Terrorism, the representative of a legal person or organisation without legal entity customer is required to provide a written statement by being personally present or via an electronic communication device operated by the Bank and specified in a legal regulation - based on the accurate and up-to-date registration kept by the customer - on its beneficial owner of the legal person or the organisation without legal entity customer and the data of the beneficial owner (family name and given name, family name and given name at birth, address, in lack of that the place of residence, nationality, place and date of birth, type and extent of ownership).

In accordance with Section (2) Article 9 of the Act, the bank is also required to ask the customer to provide a statement on the status of the beneficial owner as a politically exposed person. If the beneficial owner is a politically exposed person, the statement must contain a reference to the point of Section (2) Article 4 of the Act, under which the beneficial owner is classified as a politically exposed person and pursuant to Section (2) Article 10 of the Act, we will request information regarding the source of funds.

In order to fulfil the requirement of making a statement, please complete the following statement.

According to article 79 of the above Act the bank must refuse to carry out transactions following 26 June 2019 for customers in case business relationship was established before 26 June, 2017 and the customer due diligence procedures were not completed by 26 June 2019; and if the outcome of the customer due diligence requirements specified under Sections 7-11 and 19-20 of the above act is not fully available as on 26 June 2019.

We thank you for your cooperation.

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Customer name: ..... Customer ID: .....

**I. PLEASE SPECIFY THE BENEFICIAL OWNER AND PROVIDE IDENTIFICATION DATA AND REMARK THE TYPE OF OWNERSHIP INTEREST ON THE BASIS OF POINTS 1-3.**

In accordance with sub-points da) – dc) of Point 38 Article 3 of Act LIII of 2017 beneficial owner is the natural person:

- 1.) who is the beneficiary of at least twenty-five percent of the assets owned by the foundation if future beneficiaries have already been defined;
- 2.) for the benefit of whom the foundation has been created and/or operated if beneficiaries have not yet been defined; or
- 3.) who is a member of the managing body of the foundation, or has a dominant influence of over twenty-five percent of the assets of the foundation, and/or acts as a representative of the foundation.

I declare that the **beneficial owner(s)** of the foundation I represent is/are the following natural person(s):

	1. beneficial owner	2. beneficial owner	3. beneficial owner	4. beneficial owner
Family name				
Given name				
Family and given name at birth				
Nationality				
Address, in lack of that place of residence				
Place of birth				
Date of birth				
Type of ownership interest (point 1./2./3. – see above)				
Extent of ownership				

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## II. IN THE FOLLOWING PLEASE ISSUE A STATEMENT REGARDING THE STATUS AS POLITICALLY EXPOSED PERSON OF THE BENEFICIAL OWNER(S) OF THE FOUNDATION SPECIFIED ABOVE

I, the undersigned representative of the foundation customer hereby declare that:

- The above beneficial owner(s) is/are NOT classified as (a) politically exposed person(s).
- YES,.....(Name) beneficial owner as a politically exposed person, is presently responsible for important public duties, or has been responsible for such within one year of issuing this statement as indicated below and/or
- YES,.....(Name) beneficial owner is a **close relative**<sup>1</sup> of a politically exposed person who is currently responsible for important public duties or has been responsible for such within one year of issuing this statement as indicated below and/or
- YES,.....(Name) beneficial owner is in **close relationship**<sup>2</sup> with a politically exposed person who is currently responsible for important public duties or has been responsible for such within one year of issuing this statement as follows:

## III. IF THE BENEFICIAL OWNER IS A POLITICALLY EXPOSED PERSON, PLEASE INDICATE THE POINT UNDER WHICH OF THE FOLLOWING POINTS (1)-(9) HE/SHE IS QUALIFIED AS A POLITICALLY EXPOSED PERSON AND COMPLETE POINT IV.

### POLITICALLY EXPOSED PERSON:

- (1) head of state, head of the government, minister, the deputy-minister, state secretary, in Hungary the head of state, the prime minister, minister and the state secretary,
- (2) member of the parliament or the member of a similar legislative body, in Hungary the member of the parliament, speaker of nationality,
- (3) member of the management body of a political party, in Hungary the member and official of the management body of the political party,
- (4) member of the supreme court, the constitutional court and other high level body of judges the resolution of which cannot be appealed, in Hungary the member of the Constitutional Court, the Regional Court of Appeal, the Supreme Court,
- (5) Board member of the audit office, Board member of the Central Bank, in Hungary the president and vice-president of the State Audit Office, the member of the Monetary Council and the Financial Stability Council,
- (6) ambassador, chargé d'affaires, and members of the commissioned officers in senior ranks, in Hungary the head of the central body of the organisation pursuing law enforcement tasks and his deputy, and the chief of the Defence Staff and the deputies of the chief of the Defence Staff,
- (7) members of administrative, management, or supervisory bodies of enterprises with a majority state-owned ownership, in Hungary the managing director of enterprises in the majority ownership of the state, member of management body with controlling or supervisory authority,
- (8) head of an international organisation, the deputy to the head, and member of its management body.
- (9) a close relative of persons defined in Sections (1) to (8) and/or a person having a close relationship with such a person defined in Sections (1) to (8) (see footnote 1-2).  
Name of politically exposed person defined in Sections (1) to (8): .....

<sup>1</sup> A **close relative** defined in Section (3) of Article (4) of Act LIII of 2017 is the spouse, common-law partner of politically exposed persons; their biological, adopted, step or foster child, as well as their spouse, common-law partner; biological, adopted, step or foster parent.

<sup>2</sup> A According to Points a) and b), Section (4), Article 4, of Act LIII of 2017 a **person in close relationship** with a politically exposed person is the following:

- a) any natural person that is the beneficial owner of an organisation together with the politically exposed person as defined in Sections 1) to 8) above, or one who has a close business relationship with it;
- b) any natural person that is the single owner of an entity or organisation without legal entity which was established for the benefit of the politically exposed person as defined in Sections 1) to 8) above.

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#### IV. IN CASE THE BENEFICIAL OWNER IS POLITICALLY EXPOSED PERSON PLEASE PROVIDE INFORMATION ON THE SOURCE OF FUNDS REGARDING TO THE BUSINESS RELATIONSHIP OR TRANSACTION ORDER

- economic activity
- regular income
- salary
- inheritance
- sale of real estate
- sale of personal property
- others: \_\_\_\_\_

I represent that, I am aware that if, with regard to a given contract or financial transaction, the contents of the above statement do not comply with the actual situation, or the foundation performs a transaction order or executes a transactions on behalf of natural persons other than those specified in this statement as beneficial owners, then, at the same time that the given contract or order relating to the given financial transaction is signed, an occasional beneficial ownership statement must be issued to the Bank.

I am aware that, upon any change occurring to the contents or data of this statement, such change shall be immediately reported to the Bank, and a new general beneficial ownership statement must be issued. I shall make this statement in full awareness of my criminal liability.

Date: .....(Location), .....year.....month.....day

.....  
Signature of administrator

.....  
Signature of the customer

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<b>Ügyintéző tölti ki!</b>	
Ügyfél azonosító:	
Ügyintéző azonosító:	
Ügyintéző aláírása:	

## **Figyelem!**

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Amennyiben az ügyfél kiemelt közszereplő, úgy az üzleti kapcsolat létesítésére, az ügyleti megbízás teljesítésére kizárólag a Compliance Igazgatóság jóváhagyását követően kerülhet sor.

A jóváhagyást az [ugyfelazonositas@mbk.hu](mailto:ugyfelazonositas@mbk.hu) címen lehet igényelni, az igényléshez ezt a Nyilatkozatot is kitöltve, aláírva csatolni kell.

## **Figyelem!**

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Amennyiben az ügyfél kiemelt közszereplő, a Nyilatkozat pénzeszközök forrására vonatkozó részének ügyfél általi kitöltése kötelező!