

Non natural person customer's general beneficial ownership statement



MKB Bank Nyrt.

Dear Customer,

In accordance with Section (1), Article 9 of Act LIII of 2017 on the Prevention and Combating of Money Laundering and Financing Terrorism, the representative of a legal person or organisation without legal entity customer is required to provide a written statement by being personally present or via an electronic communication device operated by the Bank and specified in a legal regulation - based on the accurate and up-to-date registration kept by the customer - on its beneficial owner of the legal person or the organisation without legal entity customer and the data of the beneficial owner (family name and given name, family name and given name at birth, address, in lack of that the place of residence, nationality, place and date of birth, type and extent of ownership).

In accordance with Section (2) Article 9 of the Act, the bank is also required to ask the customer to provide a statement on the status of the beneficial owner as a politically exposed person. If the beneficial owner is a politically exposed person, the statement must contain a reference to the point of Section (2) Article 4 of the Act, under which the beneficial owner is classified as a politically exposed person and pursuant to Section (2) Article 10 of the Act, we will request information regarding the source of funds.

In order to fulfil the requirement of making a statement, please complete the following statement.

According to article 79 of the above Act the bank must refuse to carry out transactions following 31 October 2019 for customers in case business relationship was established before 26 June, 2017 and the customer due diligence procedures were not completed by 31 October 2019; and if the outcome of the customer due diligence requirements specified under Sections 7-11 and 19-20 of the above act is not fully available as on 31 October 2019.

We thank you for your cooperation.

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Customer name: Customer ID:.....

I. PLEASE SPECIFY THE BENEFICIAL OWNER(S) AND PROVIDE IDENTIFICATION DATA

In accordance with sub-points a) - b) and f) of Point 38) Article 3 of Act LIII of 2017, a beneficial owner is:

1.) The natural person who, either directly or indirectly, in a manner regulated in Section (4) of Article 8:2 of Act V of 2013 on the Civil Code, owns or controls at least twenty-five per cent of the shares or voting rights or in any other ways exercises actual control over the legal entity or non legal entity organisation, if that legal person or organisation without legal entity is not listed on a regulated market (e.g. stock exchange) and is subject to disclosure requirements consistent with Community legislation or is subject to equivalent international standards; (sub-point a), point 38) Article 3 of the Act,)

2.) The natural person who has a dominant influence in a legal person or organisation without legal entity as defined in Section (2) of Article 8:2 of Act V of 2013 on the Civil Code (sub-point b), point 38) Article 3 of the Act),

According to Article 8:2(2) of Act V of 2013 (Civil Code) The holder of a participating interest is deemed to have dominant influence, if it is a member of or shareholder in that company and

a) it has the right to appoint and recall the majority of the executive officers or supervisory board members of the legal person, or

b) other members of or shareholder in that legal person are committed under agreement with the holder of a participating interest to vote in concert with the holder of a participating interest, or they exercise their voting rights through the holder of a participating interest, provided that together they control more than half of the votes.

I declare that the beneficial owner(s) of the legal person or organisation without legal entity I represent is/are the following natural person(s):

	1. beneficial owner	2. beneficial owner	3. beneficial owner	4. beneficial owner
Family name				
Given name				
Family and given name at birth				
Nationality				
Address, in lack of that place of residence				
Place of birth				
Date of birth				
Type of ownership interest (point 1. or 2. – see above)				
Extent of ownership				

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II. IN LACK OF A NATURAL PERSON THAT QUALIFIES AS A BENEFICIAL OWNER IN ACCORDANCE WITH SUB-POINTS 1)-2) OF POINT I, PLEASE COMPLETE POINT II.

The beneficial owner(s) of the legal person or organisation without legal entity I represent is/are the following executive officer(s) – as set forth in sub-point f) of point 38. Article 3 - as the company has no beneficial owner under the definition contained in sub-points a)-b) of point 38.) Article 3:

The executive officers are the statutory representatives of a business association. Executive officer(s) based on Civil Code is/are: in the case of private limited liability companies (llc.) the managing director(s); in the case of a company limited by shares the members of the management board; with regard to private company limited by shares, the members of the management board or the chief executive officer; with regard to a public company limited by shares, the members of the Board of Directors or the members of the governing body; in the case of a limited partnership and general partnership, the managing director(s); with regard to a grouping, the director or the members of the management board consisting of 3 members.

	executive officer	executive officer	executive officer	executive officer
Family name				
Given name				
Family and given name at birth				
Nationality				
Address, in lack of that place of residence				
Place of birth				
Date of birth				

III. IN THE FOLLOWING, PLEASE ISSUE A STATEMENT REGARDING THE STATUS AS POLITICALLY EXPOSED PERSON OF THE BENEFICIAL OWNER(S) SPECIFIED ABOVE

I, the undersigned representative of the legal person or organisation without legal entity customer hereby declare that:

- The above beneficial owner(s) is/are NOT classified as (a) politically exposed person(s).
- YES,.....(Name) beneficial owner as a politically exposed person, is presently responsible for important public duties, or has been responsible for such within one year of issuing this statement as indicated below and/or
- YES,.....(Name) beneficial owner is a **close relative**¹ of a politically exposed person who is currently responsible for important public duties or has been responsible for such within one year of issuing this statement as indicated below and/or
- YES,.....(Name) beneficial owner is in **close relationship**² with a politically exposed person who is currently responsible for important public duties or has been responsible for such within one year of issuing this statement as follows:

¹ A **close relative** defined in Section (3) of Article (4) of Act LIII of 2017 is the spouse, common-law partner of politically exposed persons; their biological, adopted, step or foster child, as well as their spouse, common-law partner; biological, adopted, step or foster parent.

² A According to Points a) and b), Section (4), Article 4, of Act LIII of 2017 a **person in close relationship** with a politically exposed person is the following:

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IV. IF THE BENEFICIAL OWNER IS A POLITICALLY EXPOSED PERSON, PLEASE INDICATE THE POINT UNDER WHICH OF THE FOLLOWING POINTS (1)-(9) HE/SHE IS QUALIFIED AS A POLITICALLY EXPOSED PERSON AND COMPLETE POINT V.

POLITICALLY EXPOSED PERSON:

- (1) head of state, head of the government, minister, the deputy-minister, state secretary, in Hungary the head of state, the prime minister, minister and the state secretary,
- (2) member of the parliament or the member of a similar legislative body, in Hungary the member of the parliament, speaker of nationality,
- (3) member of the management body of a political party, in Hungary the member and official of the management body of the political party,
- (4) member of the supreme court, the constitutional court and other high level body of judges the resolution of which cannot be appealed, in Hungary the member of the Constitutional Court, the Regional Court of Appeal, the Supreme Court,
- (5) Board member of the audit office, Board member of the Central Bank, in Hungary the president and vice-president of the State Audit Office, the member of the Monetary Council and the Financial Stability Council,
- (6) ambassador, chargé d'affaires, and members of the commissioned officers in senior ranks, in Hungary the head of the central body of the organisation pursuing law enforcement tasks and his deputy, and the chief of the Defence Staff and the deputies of the chief of the Defence Staff,
- (7) members of administrative, management, or supervisory bodies of enterprises with a majority state-owned ownership, in Hungary the managing director of enterprises in the majority ownership of the state, member of management body with controlling or supervisory authority,
- (8) head of an international organisation, the deputy to the head, and member of its management body.
- (9) a close relative of persons defined in Sections (1) to (8) and/or a person having a close relationship with such a person defined in Sections (1) to (8) (see footnote 1-2).
Name of politically exposed person defined in Sections (1) to (8):

V. IN CASE THE BENEFICIAL OWNER IS POLITICALLY EXPOSED PERSON PLEASE PROVIDE INFORMATION ON THE SOURCE OF FUNDS REGARDING TO THE BUSINESS RELATIONSHIP OR TRANSACTION ORDER

- economic activity
- regular income
- salary
- inheritance
- sale of real estate
- sale of personal property
- others: _____

I represent that, I am aware that if, with regard to a given contract or financial transaction, the contents of the above statement do not comply with the actual situation, or the legal person or organisation without legal entity performs a transaction order or executes a transactions on behalf of natural persons other than those specified in this statement as beneficial owners, then, at the same time that the given contract or order relating to the given financial transaction is signed, an occasional beneficial ownership statement must be issued to the Bank.

I am aware that, upon any change occurring to the contents or data of this statement, such change shall be immediately reported to the Bank, and a new general beneficial ownership statement must be issued. I shall make this statement in full awareness of my criminal liability.

Date:(Location),year.....month.....day

- a) any natural person that is the beneficial owner of an organisation together with the politically exposed person as defined in Sections 1) to 8) above, or one who has a close business relationship with it;
- b) any natural person that is the single owner of an entity or organisation without legal entity which was established for the benefit of the politically exposed person as defined in Sections 1) to 8) above.

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.....
Signature of administrator

.....
Signature of the customer

Ügyintéző tölti ki!	
Ügyfél azonosító:	
Ügyintéző azonosító:	
Ügyintéző aláírása:	

Figyelem!

Amennyiben az ügyfél kiemelt közszereplő, úgy az üzleti kapcsolat létesítésére, az üzleti megbízás teljesítésére kizárólag a Compliance Igazgatóság jóváhagyását követően kerülhet sor.

A jóváhagyást az ugyfelazonositas@mkb.hu címen lehet igényelni, az igényléshez ezt a Nyilatkozatot is kitöltve, aláírva csatolni kell.

Figyelem!

Amennyiben az ügyfél kiemelt közszereplő, a Nyilatkozat pénzeszközök forrására vonatkozó részének ügyfél általi kitöltése kötelező!