

Natural person's general or occasional beneficial ownership statement



Dear Customer,

According to sections (1)-(2) of Article 8 of Act LIII of 2017 on the Prevention and Combating of Money Laundering and Financing Terrorism, natural person customers have the obligation to issue a written statement – in the manner as defined by the Bank - by being personally present or via an electronic communication device operated by the Bank and specified in a legal regulation if they act on behalf or in the interest of the beneficial owner. If the customer states that it acts on behalf or in the interest of the beneficial owner, such statement must contain the family and given name of the beneficial owner, its family and given name at birth, address, or in lack of that its place of residence, nationality, place and date of birth. Pursuant to paragraph c) of 3(38) of the act: the beneficiary is the person based on whose order a deal, transaction is executed, or who exercises actual control in any other ways over the activity of the natural person. The Bank shall also request a statement pursuant to section (3) of Article 8 as to whether the beneficial owner is classified as a politically exposed person.

Thank you for your cooperation.

Customer name: Customer ID:.....

I. PLEASE SPECIFY THE BENEFICIAL OWNER(S) AND PROVIDE IDENTIFICATION DATA

I, the undersigned I shall state that

in all cases I shall act exclusively on behalf or in the interest or based on the order of the following natural person (beneficial owner), or the following natural person exercises actual control over my activity in any other ways upon using the services of MKB Bank Zrt.:

in the case of the following contract or transaction order or deal or transaction, I shall act on behalf or in the interest or based on the order of the following natural person (beneficial owner), or the following natural person exercises actual control in any other ways over my activity:

.....

Family name: Given name:

Family name and given name at birth:

Nationality:

Address or in lack of that the place of residence:.....

Date and place of birth:

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II. PLEASE ISSUE A WRITTEN STATEMENT IN THE FOLLOWING, AS TO WHETHER THE ABOVE SPECIFIED BENEFICIAL OWNER IS CLASSIFIED AS POLITICALLY EXPOSED PERSON

I declare that:

- The above beneficial owner(s) is/are NOT classified as (a) politically exposed person(s).
- YES,.....(Name) beneficial owner as a politically exposed person, is presently responsible for important public duties, or has been responsible for such within one year of issuing this statement as indicated below and/or
- YES,.....(Name) beneficial owner is a **close relative**¹ of a politically exposed person who is currently responsible for important public duties or has been responsible for such within one year of issuing this statement as indicated below and/or
- YES,.....(Name) beneficial owner is in **close relationship**² with a politically exposed person who is currently responsible for important public duties or has been responsible for such within one year of issuing this statement as follows:

III. IF THE BENEFICIAL OWNER IS A POLITICALLY EXPOSED PERSON, PLEASE INDICATE THE POINT UNDER WHICH OF THE FOLLOWING POINTS (1)-(9) HE/SHE IS QUALIFIED AS A POLITICALLY EXPOSED PERSON AND COMPLETE POINT IV.

POLITICALLY EXPOSED PERSON:

- (1) head of state, head of the government, minister, the deputy-minister, state secretary, in Hungary the head of state, the prime minister, minister and the state secretary,
- (2) member of the parliament or the member of a similar legislative body, in Hungary the member of the parliament, speaker of nationality,
- (3) member of the management body of a political party, in Hungary the member and official of the management body of the political party,
- (4) member of the supreme court, the constitutional court and other high level body of judges the resolution of which cannot be appealed, in Hungary the member of the Constitutional Court, the Regional Court of Appeal, the Supreme Court,
- (5) Board member of the audit office, Board member of the Central Bank, in Hungary the president and vice-president of the State Audit Office, the member of the Monetary Council and the Financial Stability Council,
- (6) ambassador, chargé d'affaires, and members of the commissioned officers in senior ranks, in Hungary the head of the central body of the organisation pursuing law enforcement tasks and his deputy, and the chief of the Defence Staff and the deputies of the chief of the Defence Staff,
- (7) members of administrative, management, or supervisory bodies of enterprises with a majority state-owned ownership, in Hungary the managing director of enterprises in the majority ownership of the state, member of management body with controlling or supervisory authority,
- (8) head of an international organisation, the deputy to the head, and member of its management body.
- (9) a close relative of persons defined in Sections (1) to (8) and/or a person having a close relationship with such a person defined in Sections (1) to (8) (see footnote 1-2).
Name of politically exposed person defined in Sections (1) to (8):

¹ A **close relative** defined in Section (3) of Article (4) of Act LIII of 2017 is the spouse, common-law partner of politically exposed persons; their biological, adopted, step or foster child, as well as their spouse, common-law partner; biological, adopted, step or foster parent.

² A According to Points a) and b), Section (4), Article 4, of Act LIII of 2017 a person in **close relationship** with a politically exposed person is the following:

- a) any natural person that is the beneficial owner of an organization together with the politically exposed person as defined in Sections 1) to 8) above, or one who has a close business relationship with it;
- b) any natural person that is the single owner of an entity or organization without legal entity which was established for the benefit of the politically exposed person as defined in Sections 1) to 8) above.

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IV. IN CASE THE BENEFICIAL OWNER IS POLITICALLY EXPOSED PERSON PLEASE PROVIDE INFORMATION ON THE SOURCE OF FUNDS REGARDING TO THE BUSINESS RELATIONSHIP OR TRANSACTION ORDER

- economic activity
- regular income
- salary
- inheritance
- sale of real estate
- sale of personal property
- others: _____

I shall hereby state that I am aware that in case the content of the above statement in respect of the specific contract or financial operation or using a service is not reflecting the true situation or in case I execute a deal order or order based on the instruction of a natural person other than the one specified above, or the natural person exercises control in any other ways over my activity – upon using the service, or simultaneously with signing the specific contract or transaction order or order for a specific financial operation and when using the service – new statement on general or occasional beneficial ownership must be made to the bank.

I am aware that in case there is a change in the content or data of this statement, I shall immediately report the change to the Bank, and a new statement on general or occasional beneficial ownership will have to be made. I shall make this statement in full awareness of my criminal liability.

Date:(Location),year.....month.....day

.....
Signature of administrator

.....
Signature of the customer

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Ügyintéző tölti ki!	
Ügyfél azonosító:	
Ügyintéző azonosító:	
Ügyintéző aláírása:	

Figyelem!

Amennyiben az ügyfél kiemelt közszereplő, úgy az üzleti kapcsolat létesítésére, az üzleti megbízás teljesítésére kizárólag a Compliance Igazgatóság jóváhagyását követően kerülhet sor.

A jóváhagyást az ugyfelazonositas@mkb.hu címen lehet igényelni, az igényléshez ezt a Nyilatkozatot is kitöltve, aláírva csatolni kell.

Figyelem!

Amennyiben az ügyfél kiemelt közszereplő, a Nyilatkozat pénzeszközök forrására vonatkozó részének ügyfél általi kitöltése kötelező!